



~~2156~~ 47
2152

PATENT
Customer Number 22,852
Attorney Docket No.: 7451.0026-00
InterTrust Ref. No.: IT-24.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Marc E. Strohwig, et al.

Application No.: 09/629,114

Filed: July 31, 2000

For: METHODS AND SYSTEMS FOR
TRANSACTION RECORD
DELIVERY USING THRESHOLDS
AND MULTI-STAGE PROTOCOL

)
)
) Group Art Unit: Unassigned

)
) Examiner: Unassigned
)

RECEIVED

JAN 22 2001

Technology Center 2100

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the document listed on the attached PTO 1449. To the best of our knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. A copy of the listed document is attached.

Applicants respectfully request that the Examiner consider the listed document and indicate it was considered by making appropriate notations on the attached form.

LAW OFFICES

FINNEGAN, HENDERSON,
FARABOW, GARRETT
& DUNNER, L.L.P.
STANFORD RESEARCH PARK
700 HANSEN WAY
PALO ALTO, CALIF. 94304
650-849-8600

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "prior art." If the Examiner applies the document as prior art against any claim in the application and Applicants determine that the cited document does not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such document.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: January 12, 2001

By: *Linda G. Thayer*
Linda G. Thayer
Reg. No. 45,681

LAW OFFICES

FINNEGAN, HENDERSON,
FARABOW, GARRETT
& DUNNER, L.L.P.
STANFORD RESEARCH PARK
700 HANSEN WAY
PALO ALTO, CALIF. 94304
650-849-6600